# **Export-Controlled Viruses, Bacteria, Toxins, and Fungi** (Export Control Classification Numbers 1C351, 1C353, and 1C354)

Except for certain countries<sup>A</sup>, an export license is required for all materials listed, regardless of quantity or attenuation, including small quantities or attenuated strains of select biological agents or "toxins" that are excluded from the lists of select biological agent or "toxins" by APHIS or the CDC, and including "genetic elements"<sup>\*</sup> associated with pathogenicity.

\*"Genetic elements" include chromosomes, genomes, plasmids, transposons, vectors, and inactivated organisms containing recoverable nucleic acid fragments, whether genetically modified or unmodified, or chemically synthesized in whole or in part.

**ECCN 1C351 Note:** Biological agents and pathogens are controlled under ECCN 1C351 when they are an isolated live culture of a pathogen agent, or a preparation of a toxin agent that has been isolated or extracted from any source or material, including living material that has been deliberately inoculated or contaminated with the agent. Isolated live cultures of a pathogen agent include live cultures in dormant form or in dried preparations, whether the agent is natural, enhanced or modified.

#### **Viruses**

Except for certain countries<sup>^</sup>, an export license is required for all viruses listed, as well as for any genetically modified organism that contains, or any genetic element that codes for, any gene or genes specific to the viruses listed below (ECCN 1C353).

Viruses identified on the Australia Group "List of Human and Animal Pathogens and Toxins for Export Control" (ECCN 1C351.a)		
African horse sickness virus	Monkeypox virus	
African swine fever virus	Murray Valley encephalitis virus	
Andes virus	Newcastle disease virus	
Avian influenza (AI) having high pathogenicity	Nipah virus	
<ul> <li>IVPI in 6-week-old chickens greater than 1.2; or</li> </ul>		
• cause at least 75% mortality in 4- to 8-week-old chickens infected IV		
Bluetongue virus	Omsk hemorrhagic fever virus	
Chapare virus	Oropouce virus	
Chikungunya virus	Peste-des-petits ruminants virus	
Choclo virus	Porcine Teschovirus	
Classical swine fever virus (Hog cholera virus)	Powassan virus	
Crimean-Congo hemorrhagic fever virus	Rabies virus and all other members of the Lyssavirus genus	
Dobrava-Belgrade virus	Reconstructed 1918 influenza virus	
	(includes reconstructed replication competent forms of the 1918	
	pandemic influenza virus containing any portion of the coding regions of	
	all 8 gene segments)	
Easter equine encephalitis virus	Rift Valley fever virus	

Ebolavirus (includes all members of the Ebolavirus genus)	Rinderpest virus	
Foot-and-mouth disease virus	Rocio virus	
Goatpox virus	Sabia virus	
Guanarito virus	Seoul virus	
Hantaan virus	Severe acute respiratory syndrome (SARS)-related coronavirus (does not	
	include SARS-CoV-2)	
Hendra virus (Equine morbillivirus)	Sheeppox virus	
Japanese encephalitis virus	Sin Nombre virus	
Junin virus	St. Louis encephalitis virus	
Kyasanur Forest disease virus	Suid herpesvirus 1 (Pseudorabies virus; Aujeszky's disease)	
Laguna Negra virus	Swine vesicular disease virus	
Lassa virus	Tick-borne encephalitis virus (1C351.a.53: Far Eastern Subtype, formerly	
	known as Russian Spring-Summer encephalitis virus, and 1C351.b.3:	
	Siberian subtype, formerly Western Siberian virus, is identified on the	
	APHIS/CDC "select agents" list)	
Louping ill virus	Variola virus	
Lujo virus	Venezuelan equine encephalitis virus	
Lumpy skin disease virus	Vesicular stomatitis virus	
Lymphocytic choriomeningitis virus	Western equine encephalitis virus	
Machupo virus	Yellow fever virus	
Marburgvirus (includes all members of the Marburgvirus genus)		
Middle-East respiratory syndrome (MERS)-related coronavirus		
Plant viruses (ECCN 1C354.c)		
Andean potato latent virus (Potato Andean latent tymovirus)	Potato spindle tuber viroid	

### **Bacteria**

Except for certain countries<sup>^</sup>, an export license is for all bacteria listed, as well as for any genetically modified organism that contains, or any genetic element that codes for any gene or genes specific to any bacterium listed which: (ECCN 1C353)

- In itself or through its transcribed or translated products represents a significant hazard to human, animal or plant health; or
- *Could endow or enhance pathogenicity*

Bacteria identified on the Australia Group "List of Human and Animal Pathogens and Toxins for Export Control" (ECCN 1C351.c)		
Bacillus anthracis	Clostridium perfringens, epsilon toxin producing types	
Brucella abortus	Coxiella burnetiid	
Brucella melitensis	Francisella tularensis	
Brucella suis	Mycoplasma capricolum subspecies capripneumoniae ("strain F38")	

Burkholderia mallei (Pseudomonas mallei)	Mycoplasma mycoides subspecies mycoides SC (small colony) (aka contagious bovine pleuropneumonia)	
Burkholderia pseudomallei (Pseudomonas pseudomallei)	Rickettsia prowazekii	
Chlamydia psittaci (Chlamydophila psittaci)	Salmonella enterica subspecies enterica serovar Typhi (Salmonella typhi)	
Clostriduim argentinense (formerly known as Clostridium botulinum Type G),	Shiga toxin producing E coli (STEC) of serogroups O26, O45, O103, O104,	
botulinum neurotoxin producing strains	O111, O121, O145, O157, and other shiga toxin producing serogroups	
	(includes enterohaemorrhagic E. coli (EHEC), verotoxin producing E. coli	
	(VTEC), or verocytotoxin producing E. coli (VTEC))	
Clostridum baratii, botulinum neurotoxin producing strains	Shigella dysenteriae	
Clostridium botulinum	Vibrio cholerae	
Clostridium butyricum, botulinum neurotoxin producing strains	Yersinia pestis	
Plant Bacteria (ECCN 1C354.a)		
Xanthomonas albilineans	Clavibacter michiganensis subspecies sepedonicus (syn. Corynebacterium	
	michiganensis subspecies sepedonicum or Corynebacterium sepedonicum)	
Xanthomonas axonopdis pv. Citri (Xanthomonas campestris pv. Citri A)	Ralstonia solanacearum, race 3, biovar 2	
(Xanthomonas campestris pv. citri)		
Xanthomonasl oryzae	Raythayibactor toxicus	

# <u>Toxins</u>

Except for certain countries<sup>^</sup>, an export license is required for all toxins listed, as well as for any genetically modified organism that contains, or any genetic element that codes for, any listed toxins or their subunits (ECCN 1C353).

"Toxins" identified on the Australia Group "List of Human and Animal Pathogens and Toxins for Export Control" and "subunits" thereof		
(ECCN 1C351.d)		
Abrin	Nodularins	
Aflatoxins	Palytoxin	
Botulinum toxins (does not include medical products)	Ricin**	
Brevetoxins	Saxitoxin**	
Clostridium Perfringens alpha, beta 1, beta 2, epsilon and iota toxins	Shiga toxins (shiga-like toxins, verotoxins, and verocytotoxins)	
Conotoxins (does not include medical products)	Staphylococcus aureus enterotoxins, hemolysin alpha toxin, and toxic	
	shock syndrome toxin (formerly known as Staphylococcus enterotoxin F)	
Diacetoxyscirpenol	T-2 toxin	
Gonyautoxins	Tetrodotoxin	
HT-2 toxin	Viscumin (Viscum album lectin 1)	
Microcystins (Cyanginosins)	Volkensin	
Modeccin		

**\*\****Ricin and Saxitoxin, and their genetic elements, require an export license for* **<u>ALL</u>** *countries, including Canada.* 

### <u>Fungi</u>

Except for certain countries<sup>^</sup>, an export license is required for all fungi listed, as well as for any genetically modified organism that contains, or any genetic element that codes for any gene or genes specific to any fungus listed (ECCN 1C353).

Fungi (ECCN 1C351.e)		
Coccidioides immitis	Coccidioides posadasii	
Plant Fungi (ECCN 1C354.b)		
Bipolariz oryzae (Cochliobolus miyabeanus, Helminthosporium oryzae)	Peronosclerospora philippinensis (Peronosclerospora sacchari)	
Colletotrichum kahawae (Colletotrichum coffeanum var. virulans)	Sclerophthora rayssiae var. zeae	
Pseudocercospora ulei (Microcyclus ulei, Dothidella ulei)	Synchytrium endobioticum	
Puccinnia graminis ssp. graminis var. graminis/Puccinia graminis ssp. graminis	Tilletia indica	
var. stakmanii (Puccinia graminis [syn. Puccinia graminis f. sp. tritici])		
Puccinia striiformis (syn. Puccinia glumarum)	Thecaphora solani	
Magnaporthe oryzae (Pyricularia oryzae)	Phoma glycinicola	

### Vaccines, immunotoxins, medical products, diagnostic and food testing kits (ECCN 1C991)

Most 1C991 materials will not require an export license. For materials listed below, an export license may be required for certain countries, including countries Penn frequently ships to (e.g., China, Israel, Saudi Arabia, Taiwan, the UAE, etc.).

Note that the 1C991 classification applies only to the finished vaccine formulated for direct patient use. Bulk drug products containing controlled genetically modified organisms or genetic elements are controlled under ECCN 1C353.

### Medical products that contain any of the following

Toxins or their subunits listed in this document (does not apply to botulinum toxins or conotoxins)

Genetically modified organisms or genetic elements Genetic elements that code for any toxins or their subunits listed (does not apply to botulinum toxins or conotoxins)

^A license is not required for the following countries: Argentina, Australia, Austria, Belgium, Bulgaria, Canada, Croatia, Cyprus, the Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Iceland, India, Ireland, Italy, Japan, Latvia, Lithuania, Luxembourg, Malta, Mexico, the Netherlands, New Zealand, Norway, Poland, Portugal, Romania, Slovakia, Slovenia, South Korea, Spain, Sweden, Switzerland, Turkey, Ukraine, the United Kingdom, and the United States.

If you believe that your material may require an export license, please contact <u>expctrl@lists.upenn.edu</u>.