

Export Controls and Research Security at Higher Education and Scientific Institutions

Sheraton Philadelphia University City Hotel


May 2-4, 2022

[Full program and conference materials](#)

*ECTI ECoP® Certification Renewal: This program is approved for 2 EAR or ITAR Renewal Credits Per Day of Training

(<https://www.learnexportcompliance.com/certification/certification-renewal/>)

*See below for CLE credit information and [†]Breakfast Roundtable Topics

Monday, May 2: Pre-Conference Workshops		
7:00 am – 4:00 pm	Registration	<i>Sheraton Foyer</i>
8:00 am – 9:00 am	Breakfast	
9:00 am – 4:00 pm	BIS Workshop Karen Nies-Vogel, Tatyana Yeremina, Miranda Sieg, Kirsten Mortimer, Wesley Johnson	Export Controls, Academia, and Research: The Twain Shall Meet This workshop, specifically developed to address the intersection of the Export Administration Regulations' (EAR) controls with academic and research environments, will start with an overview of key terms and concepts under the EAR (e.g., "published," "release") and include segments on fundamental research, collaborative research, deemed exports and other issues that exist in academic and research environments. The workshop will also include discussions of export compliance and enforcement, plus case studies, exercises, and discussion. Speakers will include BIS policy, regulatory compliance, and enforcement personnel. BIS's workshop is geared toward university export compliance officers with medium-to-long-term experience in the field.
1:00 pm – 4:00 pm	Research Security Workshop Mike Cullen (Baker Tilly) Matt Gilbert (Baker Tilly) Emily Pender (University of Southern California)	Managing Cybersecurity at an Academic Institution Complex terms related to data protection and privacy are cropping up across the spectrum of research agreements. Unfortunately, there are so many disparate security and privacy terms that it is difficult to figure out what requirements apply to each specific case. It is important to be able to work across the research community to create effective understanding, systems, and controls to protect research data. This workshop will engage participants in an interactive conversation focused on two objectives. First, we will provide updates on the latest developments regarding Controlled Unclassified Information (CUI), Export Controls, Cybersecurity Maturity Model Certification (CMMC) Version 2.0, NIST standards, and other research data security developments and what that means for your institution. Second, we will discuss the most challenging aspects of meeting these requirements in the higher education environment. At the end of the workshop, participants will: <ul style="list-style-type: none">• Understand the latest research data security developments and how it impacts higher education• Understand how peer institutions address these requirements and support impacted stakeholders.• Have gained insight into specific questions on these developments and how specific requirements impact individual institutions• Take away a list of challenging requirements and potential solutions
5:00 pm – 7:00 pm	<i>Welcome Reception in the Lower Lobby of the Sheraton</i> <i>Sponsored by:</i>  bakertilly	

Tuesday, May 3			
7:00 am – 5:00 pm	Registration		<i>Sheraton Foyer</i>
7:00 am – 5:00 pm	Exhibit Hall		<i>Sheraton Foyer</i>
7:00 am – 8:00 am	Breakfast		<i>Ben Ballroom</i>
	Breakfast Roundtables: Selected Topics†		<i>Chestnut; University Suite</i>
8:15 am – 9:45 am	Agency Updates Karen Nies-Vogel (BIS), Todd Willis (DDTC), Jeffrey Trettin (DDTC), OFAC (invited)		
10:00 am – 11:30 am	Agency Coordination of Disclosure Requirements in Response to Foreign Influence Rebecca Keiser (NSF), Bindu Nair (DoD), Christina Ciocca Eller (OSTP)		Online International Graduate Degree Programs Krista Campeau (Michigan State University), Patrick Briscoe (University of Minnesota), Lori Scheetz (Wiley Rein), OFAC (invited)
	Lunch Speaker OSTP, Research Security Christina Ciocca Eller, OSTP		
11:45 am – 1:00 pm			
1:15 pm – 2:30 pm	Foreign Interference: National Security and Open Science Jeanette McMillian (NCSC), David Isaacson (ODNI), Carl McCants (DARPA)		
2:45 pm – 3:45 pm	Anatomy of a Foreign Influence Investigation Michael Vernick (Akin Gump), Marta Thompson (Akin Gump)		Multilateral Regimes for Export Regulations John Varesi (BIS), Wesley Johnson (BIS),
4:00 pm – 5:00 pm	Implementing a Research Security Program Kristin West (COGR) Michael Vernick (Akin Gump)		DDTC's Company Visit Program Jeff Trettin (DDTC), Todd Willis (DDTC)
	Transitioning from a Fundamental Research Culture to a Managed Controlled Environment William Collins (University of Miami), Hiromi Sanders (George Washington University)		
5:30 pm – 8:00 pm	Networking Reception: Around the World at the Penn Museum Exclusively sponsored by: 		

Wednesday, May 4			
7:00 am – 12:00 pm	Registration		<i>Sheraton Foyer</i>
7:00 am – 5:00 pm	Exhibit Hall		<i>Sheraton Foyer</i>
7:00 am – 8:00 am	Breakfast		<i>Ben Ballroom</i>
	Breakfast Roundtables: Selected Topics†		<i>Chestnut; University Suite</i>
8:15 am – 9:45 am	Emerging and Foundational Technology Controls Tonge Tongele (BIS)		
10:00 am – 11:30 am	The End of the China Initiative: Has Anything Really Changed? Frank Wu (Queens College), Jason Arterburn (C4ADS), Harvey Rishikof (UMD), Robert Hardy (COGR)		BIS Cyber Rule and Encryption Regulations Anita Zinzuvadia (BIS), Darlene Pennington-Johnson (University of Michigan)
	Lunch Speaker Thea Kendler, Assistant Secretary of Commerce for Export Administration, BIS		
11:45 am – 1:00 pm	Russia-related Sanctions and Export Control Regulations Alex Lopes (BIS), OFAC (invited)		Effects of Foreign National Reporting Requirements on the Spirit of Fundamental Research Janet Johnston (MIT), Greg Moffatt (MIT), Matthew Fucci (MIT), Steve Eisner (Stanford), Juliane Blyth (Brown University)
1:15 pm – 2:30 pm	Russia-related Sanctions and Export Control Regulations Alex Lopes (BIS), OFAC (invited)		Effects of Foreign National Reporting Requirements on the Spirit of Fundamental Research Janet Johnston (MIT), Greg Moffatt (MIT), Matthew Fucci (MIT), Steve Eisner (Stanford), Juliane Blyth (Brown University)
2:45 pm – 3:45 pm	ITAR Compliance: Using a Risk Matrix to Assess Risks and Enhance Compliance Programs Jessa Albertson (Stanford), Michelle Avallone (Columbia), Jeffrey Trettin (DDTC)	How to Become a Cleared Facility Mary Millsaps (North Carolina State), Scot Allen (Colorado School of Mines), Patrick Fields (DCSA)	Considerations for Effective International Visitor Reviews Marsha Pesch (University of Florida), Allen DiPalma, University of Pittsburgh), Aaron Melville (Pacific Northwest National Laboratory)
4:00 pm – 5:00 pm	The Role of Export Controls in Research Security Kevin Wolf (Akin Gump)		

Thursday, May 5	
8:00 am – 12:00 pm	<p>AUECO Membership Meeting (AUECO Members Only)</p> <p><i>Breakfast will be available in Ben Ballroom 1–3 beginning at 8:00am. The Membership Meeting begins at 9:00am</i></p>

*This program has been approved for 21.0 total CLE credits for Pennsylvania lawyers. Of this total, the amount of substantive versus ethics credits that a given attorney earns will depend on which sessions they choose to attend. CLE credit may be available in other jurisdictions as well. Attendees seeking CLE credit should bring separate payment in the amount of \$840.00 (\$420.00 public interest/non-profit attorneys) cash or check made payable to **The Trustees of the University of Pennsylvania**.*

Penn Law Alumni receive CLE credits free through The W.P. Carey Foundation's generous commitment to Lifelong Learning.

The rates for each individual day are below:

Private Sector per day rate – Pre-Conference: \$240, Day 1: \$300, Day 2: \$300

Public/Non-Profit sector – Pre-Conference: \$120, Day 1: \$150, Day 2: \$150

†Breakfast Roundtable Topics

Tuesday, May 3

AMA: Ask Me Anything about Export Compliance for New ECOs

Amy Weber (University of Arizona), Josef Mejido (University of Rochester)

The Art of Contract Review from an Export Compliance Perspective

Mary Millsaps (North Carolina State University), Marsha Pesch (University of Florida)

Mitigating Risk

Carter Post, University of Florida

Wednesday, May 4

International Travel: Responding to JCORE Recommendations

Kelly Hochstetler (University of Virginia), Laura Provencher (University of Arizona), Scot Allen (Colorado School of Mines)

International Shipping and Logistics

Terrence Rusch (Cornell University), Jen McCaw (Oregon Health & Science University)

Risks in Healthcare Research: Applying Export Controls to Medical Centers

Heather Little (St. Jude Children's Research Hospital), Kate Stoan (University of Missouri)

Breakfast Roundtable with the Board: Learn more about volunteering with AUECO

Marci Copeland (Chair), Susan Gasparro (Membership), Josef Mejido (Secretary), Sarah Schlagter (Outreach)